

ORIGINAL

NEW APPLICATION



0000161760

COMMISSIONERS
SUSAN BITTER SMITH, Chairman
BOB STUMP
BOB BURNS
DOUG LITTLE
TOM FORESE

JODI JERICH
EXECUTIVE DIRECTOR



SECURITIES DIVISION
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ARIZONA CORPORATION COMMISSION

MEMORANDUM

TO: Docket Control

FROM: Matthew J. Neubert, Director *MJN*
Securities Division

DATE: April 20, 2015

RE: Opening of Generic Docket

S-00000E-15-0129

RECEIVED
2015 APR 20 A 9 26
AZ CORP COMMISSION
DOCKET CONTROL

On behalf of Commissioner Forese, please open a generic docket with the following caption:

IN THE MATTER OF THE COMMISSION'S INQUIRY INTO POSSIBLE RULEMAKING
TO AMEND THE COMMISSION'S SECURITIES REGULATION INVESTMENT
MANAGEMENT RULES RELATED TO LICENSING EXEMPTION FOR INVESTMENT
ADVISERS TO PRIVATE FUNDS.

Arizona Corporation Commission

DOCKETED

APR 20 2015

DOCKETED BY	<i>TV</i>
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